

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE PATENT TRANSMITTAL FORM

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Serial No.:

10/026,189

Filed:

December 21, 2001

For:

Method And Apparatus For Retrieving Time Series Data Related To

An Activity

Art Unit:

2167

Examiner:

Kuen S. Lu

Confirmation No.:

9516

Customer No.:

27623

Attorney Docket No.: I20-01530US

Mail Stop Amendment Confimissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

We are enclosing:

- 1. Information Disclosure Statement with copies of references;
- 2. PTO Form 1449;
- 3. Check for \$180.00;
- 3. Transmittal letter in duplicate; and
- 4. Postcard.

Please charge any additional fees or credit any such fees, if necessary to Deposit Account No. 01-0467 in the name of Ohlandt, Greeley, Ruggiero & Perle. A duplicate copy of this sheet is attached.

Respectfully submitted,

Date: October 29, 2004

Paul D. Greeley

Reg. No.31,019

Ohlandt, Greeley, Ruggiero & Perle, L.L.P.

One Landmark Square, 10th Floor Stamford, Connecticut 06901-2682

(203) 327-4500

CERTIFICATE OF MAILING

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE U.S. POSTAL SERVICE AS FIRST CLASS MAIL IN AN ENVELOPE ADDRESSED TO: MAIL STOP AMENDMENT, COMMISSIONER FOR PATENTS, P.O. BOX 1450, ALEXANDRIA, VA 22313-1450, ON October 29, 2004

NAME

Lesley-Jean Hill

SIGNATURE

DATE



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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Emery, et al.

Serial No.: 10/026,189

Filed: December 21, 2001

For: Method And Apparatus For Retrieving Time Series Data

Related To An Activity

2167 Art Unit:

Kuen S. Lu Examiner:

Confirmation No.: 9516

27623 **Customer No.:**

Attorney Docket No.: 120-01530US

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

In accordance with applicant's duty of disclosure under 37 C.F.R. §1.56, please find attached hereto form PTO-1449 listing information which may be material to the patentability of this application, filed December 21, 2001. This Information Disclosure Statement is being filed:

	Within three (3) months of the filing date of the national application;
	Within three (3) months of the date of entry of the national stage as set forth in 37 C.F.R. §1.491 in an international application;
	Before the mailing date of a first Office Action on the merits;
<u>_x</u>	After the filing date or date of first Office Action, but before the mailing date of a final action undo 37 C.F.R. §1.113, provided that this occurs prior to the issuance of a Notice of Allowance and

or the fee set forth in 37 C.F.R. §1.17(p);

provided that this I.D.S. is accompanied by either a certification as specified in 37 C.F.R. §1.97(e)

	After the filing date or date of first Office Action, but before the mailing date of a Notice of Allowance under 37 C.F.R. §1.311, provided that this occurs prior to the final action and provided that this I.D.S. is accompanied by either a certification as specified in 37 C.F.R. §1.97(e) or the fee set forth in 37 C.F.R. §1.17(p);
	After the mailing date of a final action under 37 C.F.R. §1.113, provided that this occurs prior to the issuance of a Notice of Allowance and provided that this I.D.S. is accompanied by a certification as specified in 37 C.F.R. §1.97(e) and the fee set forth in 37 C.F.R. §1.17(p); and
	After the mailing date of a Notice of Allowance under 37 C.F.R. §1.311, provided that this occurs prior to or subsequent to the payment of the Issue Fee and provided that this I.D.S. is accompanied by a certification as specified in 37 C.F.R. §1.97(e) and the fee set forth in 37 C.F.R. §1.17(p). Filng with RCE Under 37 CFR 1.114.
	Also enclosed are copies of U.S. Patent Nos. 4,130,867; 5,325,522; 5,561,796;
5,590,	325; 5,603,024; 5,613,113; 5,648,900; 5,732,401; 5,848,395; 5,856,931;
5,890,	134; 5,909,672; 5,939,974; 6,341,283; 6,480,830; 6,526,405; 6,606,606;
6,073,	110; 6,622,116; 6,647,370; and U.S. Publication Nos.: 2002/0133490;

We are also enclosing copies of European Patent Nos.: 0 592 921 and 0 740 241 and PCT Publication No.: WO 01/73666.

It should be understood that attention has been called to the references that have been deemed to be pertinent to the claimed present invention. In concluding what was pertinent, the criteria employed was considered most appropriate in light of the invention shown in the present application. However, the Examiner or others may deem some other criteria to be just as appropriate or more appropriate. Therefore, the Examiner is respectfully urged to review the listed references and to make the usual careful independent search for other prior art that may be pertinent.

Respectfully submitted,

October 29, 2004

2002/0107841.

Paul D. Greeley Reg. No. 31,019

Ohlandt, Greeley, Ruggiero & Perle, L.L.P.

One Landmark Square, 10th Floor Stamford, CT 06901-2682

(203) 327-4500

FORM PTO-1449	Docket Number (Optional)	Application Numb			
	I20-01530US	10/026,189			
INFORMATION DISCLOSURE CITATION	Applicant				
IN AN APPLICATION	Emery, et al.				
IN AN APPLICATION	Filing Date	Group Art Unit			
(Use several sheets if necessary)	December 21, 2001	2167			

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EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	4,130,867	12/19/1978	Bachman, et al.	707	1	
	5,325,522	6/28/1994	Mitchel Vaughn	707	1	
	5,561,796	10/1/1996	Sakamoto, et al.	707	3	
	5,590,325	12/31/1996	Kolton, et al.	707	3	
	5,603,024	2/11/1997	Robert Goldring	707	203	
	5,613,113	3/18/1997	Robert Goldring	707	202	
	5,648,900	7/15/1997	Bowen, et al	707	5	
	5,732,401	3/24/1998	Conway	705	29	
	5,848,395	12/8/1998	Edgar, et al.	705	9	
	5,856,931	1/5/1999	McCasland	702	182	
	5,890,134	3/30/1999	Fox	705	9	
	5,909,672	6/1/1999	Madore, et al.	705	32	
	5,939,974	8/17/1999	Heagle, et al.	340	286.09	
	6,341,283	1/22/2002	Yamakawa, et al.	707	101	
	6,480,830	11/12/2002	Ford, et al	705	9	
	6,526,405	2/25/2003	Mannila, et al.	707	6	

FOREIGN PATENT DOCUMENTS

			ļ	Translation		
DOCUMENT NUMBER	DATE	COUNTRY	CLA SS	SUBCLASS	YES	NO
0 592 921	10/5/1993	Europe			х	
0 740 241	10/30/1996	Europe			х	
WO 01/73666	10/4/2001	PCT			х	

OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP §609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

	R NO.: 27623					Sheet 2				
FORM PTO-1	FORM PTO-1449 IN AN APPLICATION IN AN APPLICATION				Docket Number (Optional) Application Number					
E JCOR	DMATION DISCLOS	LIDE CITAT	TION .	120-01530US 10/026,189						
an of the second	IN AN APPLICA	TION	ION	Emery, et al.						
	(Use several sheets if r	necessary)		December 21, 2001 2177						
PATENT & TRUE		U.	S. PATEN	T DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NUMBER	DATE		NAME	CLASS	SUBCLASS		LING DATE IF PPROPRIATE		
	6,606,606	8/12/2003	3 Starr		705	35				
	6,073,110	6/6/2000	Rhodes,	et al.	705	8		-		
	6,622,116	9/16/2003	3 Skinner,	et al.	702	183				
	6,647,370	11/11/200	Fu, et al			8				
	2002/0133490	9/19/2002	2 Conkwr	ight, et al.	707	9				
	2002/0107841	8/8/2002			707	3				
		FOR	EIGN PATI	ENT DOCUMEN	TS					
				001111701			Translation YES NO			
	NUMBER	DOCUMENT DATE NUMBER		COUNTRY	CLASS	SUBCLASS	150	NO		
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							_			
	OTHER	R DOCUM	MENTS (inclu	ding Author, Title, Date,	Pertinent Pages	, Etc.)				
								_		
EXAMINER				DATE CONSIDERED						

citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.